

金猴證券有限公司
HK Monkey Securities Limited

交收指示表格 SETTLEMENT INSTRUCTION FORM (SI)

*Please tick where applicable 請於適當空格內填上

Account No. 客戶賬號	Account Name 客戶姓名
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Please* Receive the following securities for credit of my/our account
 請 代本人/吾等之賬戶存入以下股份

Deliver the following securities from my/our account and debit my/our account with your handling charges as prescribed by you from time to time
 代本人/吾等之賬戶提取以下股份及扣除貴公司之手續費

Delivery Verse Payment*
 貨銀交付

Yes 是
 No 否

according to my/our instruction as follows:
 並根據本人/吾等之指示如下：

Counterparty Name / Number 中央結算參與者名稱及編號	
Contact Person 聯絡人姓名	Contact Telephone Number 聯絡電話

Stock Code 股票號碼	Name 股票名稱	Quantity 股數	Payment (HKD) / Free of Payment 交付金額(港元) / 金額免付

Note :

1.	I/We confirm that there is no change of beneficiary ownership involved in the transfer. 本人/吾等確認交收之股票賬戶為同名戶口
2.	I/We understand that one working day prior notice is required before execution of the transfer. 本人/吾等明白此件須於交付日期前一工作天送交貴公司
3.	I/We understand that instruction must be given to the receiving/delivering broker or input instruction to the CCASS Investor Account for stock to be delivered/received. 本人/吾等須將股票交收指示通知有關經紀或於中央結算系統投資者戶口輸入指示
4.	I/We understand that sufficient fund must be maintained with your company to cover your delivery charges before execution of the transfer. 本人/吾等明白戶口需要有足夠之結存應付所需之手續費用
5.	I/We hereby agree to indemnify you against any loss or claim resulting from your acting according to my/our instruction. 本人/吾等願意賠償貴公司因執行本人指示引致之任何損失
6.	I/We understand that your handling charges is non-refundable for my/our subsequent request to cancel this instruction. 本人/吾等明白如果取消已進行中的交收指示, 手續費用是不會發還

Client's Signature/Authorized Signature(s) and/or Company Chop
 客戶簽署/獲授權人士簽署及/或公司印章

日期 Date : _____

FOR OFFICE USE ONLY	Date	Date
Verified by Sales/Sales Code : _____	(_____)	Approved By : _____ (_____)
Signature Verified By : _____	(_____)	SI Prepared By : _____ (_____)
Cash & Stock Checked By : _____	(_____)	SI Authorised By : _____ (_____)